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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application No.	10/661,169
Filing Date	September 12, 2003
First Named Inventor	Drow Lionel O'YOUNG
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	A-71202/MSS (469332-20)

		,	ENCLOSURES	(chec	k all th	at apply)
Fee Tran	smittal Form		Assignment Papers (for an Application)			After Allowance Communication to Group
Fee Attac	ched	Drawing(s)				Appeal Communication to Board of Appeals and Interferences
Amendm	ent / Reply		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Fina	al ,		Petition			Proprietary Information
Affidavits	declaration(s)		Petition to Convert to Provisional Application			Status Letter
Extension	n of Time Request	Power of Attorney, Revocation Change of Correspondence Address				Other Enclosure(s) (please identify below):
Express	Abandonment Request		Terminal Disclaimer			Form PTO/SB/08A (3 pgs.); and self-addressed stamped Postcard.
Information (3 pgs.)	on Disclosure Statement	Request for Refund				
Certified Copy of Priority Document(s)			CD, No. of CD(s)			
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNATURE	OF A	APPLICANT, ATTOI	RNEY, C	R AG	ENT
Maria S. Swiatek, Esq., Report & WHITNEY LLP Firm 4 Embarcadero Center, Suitor San Francisco, CA 94111 Individual name Telephone: 650-494-8700			ite 3400	Custo	omer	Number 32940
Signature May Suy K						
Date March 15, 2004						
CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being deposited with the United States Postal Service with Sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:						
Typed or printed nar	me Kari Bateman					
Signature	Fri But	en			Date	March 15, 2004

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Attorney Docket No. A-71202/MSS (469332-20)
Dorsey File No. 469332-20

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

O'YOUNG et al.

U.S. Serial No.: 10/661,169

Filing Date: September 12, 2003

For: System and Method of Producing Bisphenol-A (BPA) Using Direct Crystallization of BPA in a Single

Crystallization Stage

Examiner:

Not yet assigned

Art Unit:

Not yet assigned

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450 on March 15, 2004.

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igned:

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO/SB/08A.

Further, this application is a continuation-in-part of the following related U.S. Application - Serial No. 10/099,227, filed March 13, 2002 (pending). Applicant wishes to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO/SB/08A. Since these references were previously disclosed in the above-mentioned application, in accordance with 37 C.F.R. § 1.98(d), no copies of these references are enclosed.

U.S. Serial No.:

10/661,169

Filing Date:

September 12, 2003

None of the foregoing references is believed to disclose the invention as claimed.

Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

As far as is known to the undersigned, this Information Disclosure Statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national state in an international application, or before the mailing date of a first Office Action on the merits as set forth in 37 C.F.R. § 1.97(b), and therefore no fee is required.

While no fee is believed to be due, if this belief is in error the Commissioner is authorized to charge any additional fees, including extension fees or other relief which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 469332-20 [A-71202/MSS]).

U.S. Serial No.:

10/661,169

Filing Date:

September 12, 2003

Please direct any calls in connection with this application to the undersigned at (650) 494-8700.

Respectfully submitted,

DORSEY & WHITNEY LLP

Dated: March 15, 2004

BY:

Maria Swiatek, Reg. No. 37,244

Customer Number: 32940

Dorsey & Whitney LLP Intellectual Property Department Four Embarcadero Center, Suite 3400 San Francisco, CA 94111-4187

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Attachment:

Form PTO/SB/8A (3 pgs.)



Substitute for form 1449A/PTO (Modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Complete if Known				
Application Number 10/661,169				
Filing Date September 12, 2003				
First Named Inventor Drow Lionel O'YOUNG				
Art Unit 1621				
Examiner Name Not yet assigned				
Attorney Docket Number	A-71202/MSS (469332-20)			

	nent Number d Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY 10-30-1934 02-27-1940 01-10-1956 12-25-1956 05-07-1957 01-05-1965 06-20-1967 08-03-1976	Kol Per Will Will Lut Bos	Name of Patentee or Applicant of Cited Document  Inn et al.  Ikins et al.  Iliamson et al.  Iliamson et al.  en, Jr. et al.	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appea
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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16 if possible.

<sup>8</sup> Applicant is to place a check mark here if English Language Translation is attached.

Complete if Known Substitute for form 1449A/PTO (Modified) 10/661,169 Application Number INFORMATION DISCLOSURE **September 12, 2003** Filing Date STATEMENT BY APPLICANT First Named Inventor **Drow Lionel O'YOUNG** Art Unit 1621 (use as many sheets as necessary) **Examiner Name** Not yet assigned Attorney Docket Number A-71202/MSS (469332-20) Sheet 2 3

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	A36	5,008,470	04-16-1991	Powell et al.		
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Date Considered Examiner Signature

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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Complete if Known Substitute for form 1449A/PTO (Modified) Application Number 10/661,169 INFORMATION DISCLOSURE September 12, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor **Drow Lionel O'YOUNG** Art Unit 1621 (use as many sheets as necessary) Examiner Name Not yet assigned 3 A-71202/MSS (469332-20) Sheet of Attorney Docket Number

U.S. PATENT DOCUMENTS					
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	A71	5,786,522	07-28-1998	Michael J. Cipullo	
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Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.